Appln. No. 09/892,073

Filed: 06/26/2003

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 3068B/R

Group Art Unit: 171

Examiner: Not Assigned

For: Amino Alkylphenol Emulsifiers for an Aqueous Hydrocarbon Fuel

n of: Filippin et al.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R §§ 1.97 and 1.98

Commissioner of Patents Washington, DC 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449, and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

This application is a CIP of USSN 09/483,481, filed January 14, 2000, which is a CIP of USSN 09/390,925 filed September 7, 1999, which is a CIP of USSN 09/349,268 filed July 7, 1999, which is a CIP of USSN 09/755,577 filed January 5, 2001. The Form 1449 lists the references of record in this parent application. Copies, therefore, of these patents are not provided herewith, since these copies are in the parent application. Only newly cited references, Sheet 1 of 4 are provided herewith.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Registration No. 31,360

The Lubrizol Corporation 29400 Lakeland Blvd. Wickliffe, OH 44092-2298 Phone: (440) 347-5072

Sheet _1_ of _4 PARTMENT OF COMMERCE FORM PTO ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE 09/892,073 (Rev. 6-89) 3068B/R **APPLICANT** INFORMATION DISCLOSURE Filippini et al. FILING DATE GROUP STATEMENT BY APPLICANT 6/26/01 1714 (Use several sheets if necessary) **U.S. PATENT DOCUMENTS** EXAMINER **DOCUMENT** FILING DATE INITIAL NUMBER DATE NAME CLASS **SUBCLASS** IF APPROPRIATE **FOREIGN PATENT DOCUMENTS** DOCUMENT **TRANSLATION** CLASS SUBCLASS NUMBER DATE COUNTRY YES NO WIPO WO 99/63025 12/9/99 32 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Patent Application USSN 09/761,482 filed McCutcheons Emulsifiers & Detergents, 1998, pp 1-235 of the North American Edition, and 1-199 of the International Edition

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXAMINER

DATE CONSIDERED

JAN 0 7 2002 ES

Form PTO-1449 (Modified)

LIST OF PATENTS AND TOBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

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	Atty Docket No.	Serial No.				
	3068B/R	09/892,073				
	Applicant:					
	Filippini et al.					
	Filing Date	Group				
٠	6/26/01	171/				

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	2,858,200	10/28/58	Broughten	1		
	3,499,632	3/10/70	Piros		PEC	EIVEL
-	3,756,794	9/4/73	Ford		VAN 1	2002
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	3,855,103	12/17/74	McLaren et al.		67	700
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"	5,632,596	5/27/97	Ross	•	 		
	5,643,528	7/1/97	Le Gras		†	+	
	5,669,938	9/23/97	Schwab				
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	WO 99/13030	3/18/99	PCT	 			
	WO 99/13031	3/18/99	PCT	 -			

OTHER ART

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
	KADY International; Continuous Flow Dispersion Mills; 2/98; 5 pages (brochure)
	IKA, Inc.; BATCH MIXERS, A Closer Look (www.silverson.com/btchmxr2.htm); 3/18/99 (printed from internet); 4pages
	Sonic Corp.; Tri-Homo Colloid Mills, catalog TH980; 4 pages